

September 22, 2017

Ameesha Purohit
Kestra Investment Services, LLC
5707 Southwest Parkway, Building 2, STE 400
Bldg 2, Ste. 125
Austin TX 78735

Reference: **FX2017-0920-0163/E**

Org Id :42046

REVIEW LETTER

1. 258510_October Retirement Times and Participant Memo
Rules: FIN 2210, MSRB, SEC 482
5 pages

Fee: \$600

Total Fee: \$600

Attention: Ameesha Purohit

Generally, this material appears consistent with applicable standards; however, we note the following:

We understand that the final version of the material will contain a broker/dealer name and the relationship between the broker/dealer and any other entity listed in accordance with FINRA Rules 2210(d)(3)(A) and 2210(d)(3)(B).

Reviewed by,

Evan L. Spevak
Associate Principal Analyst

aec

This year's Advertising Regulation Conference will be held on October 5-6 in Washington, D.C. For more information and to register, please access the conference webpage at www.finra.org/2017adreg.

NOTE: This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as "Reviewed by FINRA" or "FINRA Reviewed"; however, there must be no statement or implication that this communication has been approved by FINRA.

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