

September 1, 2017

Ameesha Purohit  
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Austin TX 78735

Reference: **FX2017-0830-0074/E**

Org Id :42046

### **REVIEW LETTER**

1. 255588\_September Retirement Times and Participant Memo.  
Rules: FIN 2210, SEC 482  
6 pages

Fee: \$600

Total Fee: \$600

Attention: Ameesha Purohit

Generally, the material submitted appears consistent with applicable standards; however, we note the following:

Based on your firm's cover letter, we understand that the final version of the material will contain the member's name and the relationship between the member and any other entity listed in accordance with FINRA Rules 2210(d)(3)(A) and 2210(d)(3)(B).

Reviewed by,

Evan L. Spevak  
Associate Principal Analyst

aec

***This year's Advertising Regulation Conference will be held on October 5-6 in Washington, D.C. For more information and to register, please access the conference webpage at [www.finra.org/2017adreg](http://www.finra.org/2017adreg).***

***NOTE: This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as "Reviewed by FINRA" or "FINRA Reviewed"; however, there must be no statement or implication that this communication has been approved by FINRA.***

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